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Attorney Docket No. 1302-1001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Bradley J. Swearingen et al.  
Serial No.: 09/663,151  
Filed: September 15, 2000  
Art Unit: 3624  
Examiner: Narayanswamy Subramanian  
For: Method and System for Executing Trades  
in a User Preferred Security

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Response Under 37 C.F.R. §1.111

Dear Sir:

This is a response to the Office Action dated November 22, 2005 due for reply by February 22, 2006. Applicant has enclosed herewith a petition and fee for a one-month extension of time extending the date for reply to March 22, 2006. In view of the following remarks, allowance of the pending claims in this application is respectfully requested.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 20 of this paper.